Graduate Texts in Mathematics 152

Editorial Board S. Axler F.W. Gehring K.A. Ribet

For other titles published in this series, go to http://www.springer.com/series/136

Günter M. Ziegler

Lectures on Polytopes

Updated Seventh Printing of the First Edition



Günter M. Ziegler Technische Universtät Berlin Fachbereich Mathematik, MA 6-1 Berlin, D10623 Germany

Editorial Board S. Axler Department of Mathematics San Francisco State University San Francisco, CA 94132 USA

F.W. Gehring Department of Mathematics University of Michigan Ann Arbor, MI48109 USA

K.A. Ribet Department of Mathematics University of California at Berkeley Berkeley, CA 94720-3840 USA

ISBN 978-0-387-94329-9 (hardcover) ISBN 978-0-387-94365-7 (softcover) DOI 10.1007/978-1-4613-8431-1 Springer New York Heidelberg Dordrecht London

ISBN 978-1-4613-8431-1 (eBook)

Mathematics Subject Classification (2000): 52-02, 52B05, 52B11, 52B12

© Springer Science+Business Media New York 1995, Corrected and updated printing 2007

This work is subject to copyright. All rights are reserved by the Publisher, whether the whole or part of the material is concerned, specifically the rights of translation, reprinting, reuse of illustrations, recitation, broadcasting, reproduction on microfilms or in any other physical way, and transmission or information storage and retrieval, electronic adaptation, computer software, or by similar or dissimilar methodology now known or hereafter developed. Exempted from this legal reservation are brief excerpts in connection with reviews or scholarly analysis or material supplied specifically for the purpose of being entered and executed on a computer system, for exclusive use by the purchaser of the work. Duplication of this publication or parts thereof is permitted only under the provisions of the Copyright Law of the Publisher's location, in its current version, and permission for use must always be obtained from Springer. Permissions for use may be obtained through RightsLink at the Copyright Clearance Center. Violations are liable to prosecution under the respective Copyright Law.

The use of general descriptive names, registered names, trademarks, service marks, etc. in this publication does not imply, even in the absence of a specific statement, that such names are exempt from the relevant protective laws and regulations and therefore free for general use.

While the advice and information in this book are believed to be true and accurate at the date of publication, neither the authors nor the editors nor the publisher can accept any legal responsibility for any errors or omissions that may be made. The publisher makes no warranty, express or implied, with respect to the material contained herein.

Printed on acid-free paper

Springer is part of Springer Science+Business Media (www.springer.com)

Preface

The aim of this book is to introduce the reader to the fascinating world of convex polytopes. The book developed from a course that I taught at the Technische Universität Berlin, as a part of the Graduierten-Kolleg "Algorithmische Diskrete Mathematik." I have tried to preserve some of the flavor of lecture notes, and I have made absolutely no effort to hide my enthusiasm for the mathematics presented, hoping that this will be enough of an excuse for being "informal" at times.

There is no P2C2E in this book.*

Each of the ten lectures (or chapters, if you wish) ends with extra notes and historical comments, and with exercises of varying difficulty, among them a number of open problems (marked with an asterisk*), which I hope many people will find challenging. In addition, there are lots of pointers to interesting recent work, research problems, and related material that may sidetrack the reader or lecturer, and are intended to do so.

Although these are notes from a two-hour, one-semester course, they have been expanded so much that they will easily support a four-hour course. The lectures (after the basics in Lectures 0 to 3) are essentially independent from each other. Thus, there is material for quite different two-hour courses in this book, such as a course on "duality, oriented matroids, and zonotopes" (Lectures 6 and 7), or one on "polytopes and polyhedral complexes" (Lectures 4, 5 and 9), etc.

^{*}P2C2E = "Process too complicated to explain" [469]

Still, I have to make a disclaimer. Current research on polytopes is very much alive, treating a great variety of different questions and topics. Therefore, I have made no attempt to be encyclopedic in any sense, although the notes and references might appear to be closer to this than the text. The main pointers to current research in the field of polytopes are the book by Grünbaum (in its new edition [252]) and the handbook chapters by Klee & Kleinschmidt [329] and by Bayer & Lee [63].

To illustrate that behind all of this mathematics (some of it spectacularly beautiful) there are REAL PEOPLE, I have attempted to compile a bibliography with REAL NAMES (i.e., including first names). In the few cases where I couldn't find more than initials, just assume that's all they have (just like T. S. Garp).

In fact, the masters of polytope theory are really nice and supportive people, and I want to thank them for all their help and encouragement with this project. In particular, thanks to Anders Björner, Therese Biedl, Lou Billera, Jürgen Eckhoff, Eli Goodman, Martin Henk, Richard Hotzel, Peter Kleinschmidt, Horst Martini, Peter McMullen, Ricky Pollack, Jörg Rambau, Jürgen Richter-Gebert, Hans Scheuermann, Tom Shermer, Andreas Schulz, Oded Schramm, Mechthild Stoer, Bernd Sturmfels, and many others for their encouragement, comments, hints, corrections, and references. Thanks especially to Gil Kalai, for the possibility of presenting some of his wonderful mathematics. In particular, in Section 3.4 we reproduce his paper [299],

• GIL KALAI:

A simple way to tell a simple polytope from its graph, J. Combinatorial Theory Ser. A **49** (1988), 381–383; ©1988 by Academic Press Inc.,

with kind permission of Academic Press.

My typesetting relies on IATEX; the drawings were done with xfig. They may not be perfect, but I hope they are clear. My goal was to have a drawing on (nearly) every page, as I would have them on a blackboard, in order to illustrate that this really is geometry.

Thanks to everybody at ZIB and to Martin Grötschel for their continuing support.

Berlin, July 2, 1994 Günter M. Ziegler

Preface to the Second Printing

At the occasion of the second printing I took the opportunity to make some revisions, corrections and updates, to add new references, and to report about some very recent work.

However, as with the original edition there is no claim or even attempt to be complete or encyclopedic. I can offer only my own, personal selection. So, I could include only some highlights from and pointers to Jürgen Richter-Gebert's new book [459], which provides substantial new insights about 4-polytopes, and solved a number of open problems from the first version of this book, including all the problems that I had posed in [574]. A summary of some recent progress on polytopes is [576].

Also after this revision I will try to update this book in terms of an electronic preprint "Updates, Corrections, and More," the latest and hottest version of which you should always be able to get at

http://www.math.tu-berlin.de/~ziegler

Your contributions to this update are more than welcome.

For the first edition I failed to include thanks to Winnie T. Pooh for his support during this project. I wish to thank Therese Biedl, Joe Bonin, Gabor Hetyei, Winfried Hochstättler, Markus Kiderlen, Victor Klee, Elke Pose, Jürgen Pulkus, Jürgen Richter-Gebert, Raimund Seidel, and in particular Günter Rote for useful comments and corrections that made it into this revised version. Thanks to Torsten Heldmann for everything.

> Berlin, June 6, 1997 Günter M. Ziegler

Preface to the Seventh Printing

It is wonderful to see that the "Lectures on Polytopes" are widely used as a textbook in Discrete Geometry, as an introduction to the combinatorial theory of polytopes, and as a starting point for fascinating research.

Thus, resisting for the moment a temptation to "rewrite" and expand the book, I have done a lot of small updates on the text while leaving the general format (and the page numbering) intact. In particular, I have updated the bibliography, and added quite a number of new references, many of them referring to open problems in the original 1995 edition of this book that have in the meantime been fiercefully attacked — and at least partially solved.

In Lecture 0, some examples are given for explicit computations of polytopes that I did using the PORTA software system [151]. It is wonderful that by now we have a much more powerful and comprehensive system for the computation and combinatorial analysis of polytopes, the POLYMAKE system by Michael Joswig and Ewgenij Gawrilow [225, 226, 227]. Use it!

There are two new references available now that I would like to point you to: Jiří Matoušek's "Lectures on Discrete Geometry" [382], and the second edition of Branko Grünbaum's classic "Convex Polytopes" [252], which I had already announced in the 1995 preface to this book, and which finally appeared in 2003 — a complete reprint of the book plus more than 100 pages of notes, updates, and new references. Grünbaum received the 2005 AMS Steele Prize for Exposition for his book, which very deservedly marks its importance as the book that created the theory of polytopes as we know it and to a large part guided its development until today.

x Preface to the Seventh Printing

On the occasion of this new revised printing, I want to thank my Springer editors Tom von Förster, Joachim Heinze, Ina Lindemann, and most recently Ann Kostant for their support over the years.

Finally, of the many other persons that I am grateful to and would like to thank on this occasion let me name only one: Torsten Heldmann.

Berlin, March 19, 2007 Günter M. Ziegler

Contents

Pı	eface	\mathbf{v}
	Preface to the Second Printing	vii
	Preface to the Seventh Printing	
0	Introduction and Examples	1
	Notes	22
	Problems and Exercises	23
1	Polytopes, Polyhedra, and Cones	27
	1.1 The "Main Theorem" $\ldots \ldots \ldots$	27
	1.2 Fourier-Motzkin Elimination: An Affine Sketch	32
	1.3 Fourier-Motzkin Elimination for Cones	37
	1.4 The Farkas Lemma	39
	1.5 Recession Cone and Homogenization	
	1.6 Carathéodory's Theorem	45
	Notes	47
	Problems and Exercises	49
2	Faces of Polytopes	51
	2.1 Vertices, Faces, and Facets	51
	2.2 The Face Lattice	55
	2.3 Polarity	59
	2.4 The Representation Theorem for Polytopes	64
	2.5 Simplicial and Simple Polytopes	65

	2.6	Appendix: Projective Transformations	67
	Note	es	69
	Pro	blems and Exercises	70
3		phs of Polytopes	77
	3.1	Lines and Linear Functions in General Position	77
	3.2	Directing the Edges ("Linear Programming for Geometers")	80
	3.3	The Hirsch Conjecture	83
	3.4	Kalai's Simple Way to Tell a Simple Polytope from Its Graph	
	3.5	Balinski's Theorem: The Graph is <i>d</i> -Connected	95
		es	96
	Prol	plems and Exercises	97
4	Stei	v 1	103
	4.1	1	104
	4.2	1 0	107
	4.3	1	109
	4.4		113
			115
	Prol	olems and Exercises	119
5	\mathbf{Sch}		127
	5.1	J I	127
	5.2	0 0	132
	5.3	0	138
	5.4	1	139
			143
	Prol	olems and Exercises	145
6	Dua	ality, Gale Diagrams, and Applications	149
	6.1	Circuits and Cocircuits	150
		(a) Affine Dependences	150
			153
	6.2		156
	6.3		157
			159
			160
		(c) Duality $\ldots \ldots \ldots$	163
		(d) Deletion and Contraction	163
	6.4	8	165
	6.5	• -	171
			172
			173
			175
		(d) Polytopes Violating the Isotopy Conjecture	177

	6.6 Rigidity and Universality	
7	Fans, Arrangements, Zonotopes,	101
	and Tilings	191
	7.1 Fans	191
	7.2 Projections and Minkowski Sums	
	7.3 Zonotopes	198
	7.4 Nonrealizable Oriented Matroids	$208 \\ 217$
	7.5 Zonotopal Tilings	
	Notes	
		220
8	Shellability and the Upper Bound Theorem	231
	8.1 Shellable and Nonshellable Complexes	232
	8.2 Shelling Polytopes	239
	8.3 <i>h</i> -Vectors and Dehn-Sommerville Equations	246
	8.4 The Upper Bound Theorem	254
	8.5 Some Extremal Set Theory	258
	8.6 The <i>g</i> -Theorem and Its Consequences	268
	Notes	275
	Problems and Exercises	281
9	Fiber Polytopes, and Beyond	291
	9.1 Polyhedral Subdivisions and Fiber Polytopes	292
	9.2 Some Examples	299
	9.3 Constructing the Permuto-Associahedron	310
	9.4 Toward a Category of Polytopes?	319
	Notes	320
	Problems and Exercises	321
	References	325
	Index	367