Grundlehren der mathematischen Wissenschaften 283

A Series of Comprehensive Studies in Mathematics

Editors

M. Artin S. S. Chern J. M. Fröhlich E. Heinz H. Hironaka F. Hirzebruch L. Hörmander S. Mac Lane C. C. Moore J. K. Moser M. Nagata W. Schmidt D. S. Scott Ya. G. Sinai J. Tits B. L. van der Waerden M. Waldschmidt S. Watanabe

Managing Editors M. Berger B. Eckmann S. R. S. Varadhan

Grundlehren der mathematischen Wissenschaften

A Series of Comprehensive Studies in Mathematics

A Selection

- 200. Dold: Lectures on Algebraic Topology
- 201. Beck: Continuous Flows in the Plane
- 202. Schmetterer: Introduction to Mathematical Statistics
- 203. Schoeneberg: Elliptic Modular Functions
- 204. Popov: Hyperstability of Control Systems
- 205. Nikol'skii: Approximation of Functions of Several Variables and Imbedding Theorems
- 206. André: Homologie des Algèbres Commutatives
- 207. Donoghue: Monotone Matrix Functions and Analytic Continuation
- 208. Lacey: The Isometric Theory of Classical Banach Spaces
- 209. Ringel: Map Color Theorem
- 210. Gihman/Skorohod: The Theory of Stochastic Processes I
- 211. Comfort/Negrepontis: The Theory of Ultrafilters
- 212. Switzer: Algebraic Topology-Homotopy and Homology
- 213. Shafarevich: Basic Alegebraic Geometry
- 214. van der Waerden: Group Theory and Quantum Mechanics
- 215. Schaefer: Banach Lattices and Positive Operators
- 216. Pólya/Szegö: Problems and Theorems in Analysis II
- 217. Stenström: Rings of Quotients
- 218. Gihman/Skorohod: The Theory of Stochastic Process II
- 219. Duvant/Lions: Inequalities in Mechanics and Physics
- 220. Kirillov: Elements of the Theory of Representations
- 221. Mumford: Algebraic Geometry I: Complex Projective Varieties
- 222. Lang: Introduction to Modular Forms
- 223. Bergh/Löfström: Interpolation Spaces. An Introduction
- 224. Gilbarg/Trudinger: Elliptic Partial Differential Equations of Second Order
- 225. Schütte: Proof Theory
- 226. Karoubi: K-Theory, An Introduction
- 227. Grauert/Remmert: Theorie der Steinschen Räume
- 228. Segal/Kunze: Integrals and Operators
- 229. Hasse: Number Theory
- 230. Klingenberg: Lectures on Closed Geodesics
- 231. Lang: Elliptic Curves: Diophantine Analysis
- 232. Gihman/Skorohod: The Theory of Stochastic Processes III
- 233. Stroock/Varadhan: Multi-dimensional Diffusion Processes
- 234. Aigner: Combinatorial Theory
- 235. Dynkin/Yushkevich: Markov Control Processes and Their Applications
- 236. Grauert/Remmert: Theory of Stein Spaces
- 237. Köthe: Topological Vector-Spaces II
- 238. Graham/McGehee: Essays in Commutative Harmonic Analysis
- 239. Elliott: Probabilistic Number Theory I
- 240. Elliott: Probabilistic Number Theory II
- 241. Rudin: Function Theory in the Unit Ball of Cⁿ
- 242. Huppert/Blackburn: Finite Groups I
- 243. Huppert/Blackburn: Finite Groups II
- 244. Kubert/Lang: Modular Units

Kunihiko Kodaira

Complex Manifolds and Deformation of Complex Structures

Translated by Kazuo Akao

With 22 Illustrations



Springer-Verlag New York Berlin Heidelberg Tokyo Kunihiko Kodaira 3-19-8 Nakaochiai Shinjuku-Ku, Tokyo Japan Kazuo Akao (*Translator*) Department of Mathematics Gakushuin University Tshima-ku, Tokyo Japan

AMS Classifications: 32-01, 32C10, 58C10, 14J15

Library of Congress Cataloging in Publication Data Kodaira, Kunihiko Complex manifolds and deformation of complex structures.
(Grundlehren der mathematischen Wissenschaften; 283) Translation of: Fukuso tayōtairon. Bibliography: p. 459 Includes index.
Complex manifolds. 2. Holomorphic mappings.
Moduli theory. I. Title. II. Series.
QA331.K71913 1985 515.9'3 85-9825

Theory of Complex Manifolds by Kunihiko Kodaira. Copyright © 1981 by Kunihiko Kodaira. Originally published in Japanese by Iwanami Shoten, Publishers, Tokyo, 1981.

© 1986 by Springer-Verlag New York Inc. Softcover reprint of the hardcover 1st edition 1986

All rights reserved. No part of this book may be translated or reproduced in any form without written permission from Springer-Verlag, 175 Fifth Avenue, New York, New York 10010, U.S.A.

Typeset by J. W. Arrowsmith Ltd., Bristol, England.

987654321

ISBN-13:978-1-4613-8592-9 e-ISBN-13:978-1-4613-8590-5 DOI: 10.1007/978-1-4613-8590-5 Dedicated to my esteemed colleague and friend D. C. Spencer

Preface

This book is an introduction to the theory of complex manifolds and their deformations.

Deformation of the complex structure of Riemann surfaces is an idea which goes back to Riemann who, in his famous memoir on Abelian functions published in 1857, calculated the number of effective parameters on which the deformation depends. Since the publication of Riemann's memoir, questions concerning the deformation of the complex structure of Riemann surfaces have never lost their interest.

The deformation of algebraic surfaces seems to have been considered first by Max Noether in 1888 (M. Noether: Anzahl der Modulen einer Classe algebraischer Flächen, Sitz. Königlich. Preuss. Akad. der Wiss. zu Berlin, erster Halbband, 1888, pp. 123-127). However, the deformation of higher dimensional complex manifolds had been curiously neglected for 100 years. In 1957, exactly 100 years after Riemann's memoir, Frölicher and Nijenhuis published a paper in which they studied deformation of higher dimensional complex manifolds by a differential geometric method and obtained an important result. (A. Frölicher and A. Nijenhuis: A theorem on stability of complex structures, Proc. Nat. Acad. Sci., U.S.A., 43 (1957), 239-241).

Inspired by their result, D. C. Spencer and I conceived a theory of deformation of compact complex manifolds which is based on the primitive idea that, since a compact complex manifold M is composed of a finite number of coordinate neighbourhoods patched together, its deformation would be a shift in the patches. Quite naturally it follows from this idea that an infinitesimal deformation of M should be represented by an element of the cohomology group $H^1(M, \Theta)$ of M with coefficients in the sheaf Θ of germs of holomorphic vector fields. However, there seemed to be no reason that any given element of $H^1(M, \Theta)$ represents an infinitesimal deformation of M. In spite of this, examination of familiar examples of compact complex manifolds M revealed a mysterious phenomenon that dim $H^1(M, \Theta)$ coincides with the number of effective parameters involved in the definition of M. In order to clarify this mystery, Spencer and I developed the theory of deformation of compact complex manifolds. The process of the development was the most interesting experience in my whole mathematical life. It was similar to an experimental science developed by

the interaction between experiments (examination of examples) and theory. In this book I have tried to reproduce this interesting experience; however I could not fully convey it. Such an experience may be a passing phenomenon which cannot be reproduced.

The theory of deformation of compact complex manifolds is based on the theory of elliptic partial differential operators expounded in the Appendix. I would like to express my deep appreciation to Professor D. Fujiwara who kindly wrote the Appendix and also to Professor K. Akao who spent the time and effort translating this book into English.

Tokyo, Japan January, 1985 Kunihiko Kodaira

Contents

CHAPTER 1	
Holomorphic Functions	1
§1.1. Holomorphic Functions	1
§1.2. Holomorphic Map	23
CHAPTER 2	
Complex Manifolds	28
§2.1. Complex Manifolds	28
§2.2. Compact Complex Manifolds	39
§2.3. Complex Analytic Family	59
CHAPTER 3	
Differential Forms, Vector Bundles, Sheaves	76
\$3.1. Differential Forms	76
§3.2. Vector Bundles	94
§3.3. Sheaves and Cohomology	109
§3.4. de Rham's Theorem and Dolbeault's Theorem	134
§3.5. Harmonic Differential Forms	144
§3.6. Complex Line Bundles	165
CHAPTER 4	
Infinitesimal Deformation	182
§4.1. Differentiable Family	182
§4.2. Infinitesimal Deformation	188
CHAPTER 5	
Theorem of Existence	209
§5.1. Obstructions	209
\$5.2. Number of Moduli	215
§5.3. Theorem of Existence	248
CHAPTER 6	
Theorem of Completeness	284
§6.1. Theorem of Completeness	284
§6.2. Number of Moduli	305
§6.3. Later Developments	314

CHAPTER 7	
Theorem of Stability	320
\$7.1. Differentiable Family of Strongly Elliptic Differential Operators	320
§7.2. Differentiable Family of Compact Complex Manifolds	345
APPENDIX	
Elliptic Partial Differential Operators on a Manifold	363
by Daisuke Fujiwara	
\$1. Distributions on a Torus	363
§2. Elliptic Partial Differential Operators on a Torus	391
§3. Function Space of Sections of a Vector Bundle	419
§4. Elliptic Linear Partial Differential Operators	430
§5. The Existence of Weak Solutions of a Strongly Elliptic Partial	
Differential Equation	438
§6. Regularity of Weak Solutions of Elliptic Linear Partial	
Differential Equations	443
§7. Elliptic Operators in the Hilbert Space $L^2(X, B)$	445
§8. C^{∞} Differentiability of $\varphi(t)$	452
Bibliography	459
Index	461