Graduate Texts in Mathematics 130

Editorial Board J. H. Ewing F. W. Gehring P. R. Halmos

Springer-Verlag Berlin Heidelberg GmbH

Graduate Texts in Mathematics

- 1 TAKEUTI/ZARING. Introduction to Axiomatic Set Theory. 2nd ed.
- 2 OXTOBY. Measure and Category. 2nd ed.
- 3 SCHAEFFER. Topological Vector Spaces.
- 4 HILTON/STAMMBACH. A Course in Homological Algebra.
- 5 MACLANE. Categories for the Working Mathematician.
- 6 HUGHES/PIPER. Projective Planes.
- 7 SERRE. A Course in Arithmetic.
- 8 TAKEUTI/ZARING. Axiomatic Set Theory.
- 9 HUMPHREYS. Introduction to Lie Algebras and Representation Theory.
- 10 COHEN. A Course in Simple Homotopy Theory.
- 11 CONWAY. Functions of One Complex Variable. 2nd ed.
- 12 BEALS. Advanced Mathematical Analysis.
- 13 ANDERSON/FULLER. Rings and Categories of Modules.
- 14 GOLUBITSKY/GUILLEMIN. Stable Mappings and Their Singularities.
- 15 BERBERIAN. Lectures in Functional Analysis and Operator Theory.
- 16 WINTER. The Structure of Fields.
- 17 ROSENBLATT. Random Processes. 2nd ed.
- 18 HALMOS. Measure Theory.
- 19 HALMOS. A Hilbert Space Problem Book. 2nd ed., revised.
- 20 HUSEMÖLLER. Fibre Bundles. 2nd ed.
- 21 HUMPHREYS. Linear Algebraic Groups
- 22 BARNES/MACK. An Algebraic Introduction to Mathematical Logic.
- 23 GREUB. Linear Algebra. 4th ed.
- 24 HOLMES. Geometric Functional Analysis and its Applications.
- 25 HEWITT/STROMBERG. Real and Abstract Analysis.
- 26 MANES. Algebraic Theories.
- 27 KELLEY. General Topology.
- 28 ZARISKI/SAMUEL. Commutative Algebra. Vol. I.
- 29 ZARISKI/SAMUEL. Commutative Algebra. Vol. II.
- 30 JACOBSON. Lectures in Abstract Algebra I: Basic Concepts.
- 31 JACOBSON. Lectures in Abstract Algebra II: Linear Algebra
- 32 JACOBSON. Lectures in Abstract Algebra III: Theory of Fields and Galois Theory.
- 33 HIRSCH. Differential Topology.
- 34 SPITZER. Principles of Random Walk. 2nd ed.
- 35 WERMER. Banach Algebras and Several Complex Variables. 2nd ed.
- 36 KELLEY/NAMIOKA et al. Linear Topological Spaces.
- 37 MONK. Mathematical Logic.
- 38 GRAUERT/FRITZSCHE. Several Complex Variables.
- 39 ARVESON. An Invitation to C*-Algebras.
- 40 KEMENY/SNELL/KNAPP. Denumerable Markov Chains. 2nd ed.
- 41 APOSTOL. Modular Functions and Dirichlet Series in Number Theory.
- 42 SERRE. Linear Representations of Finite Groups.
- 43 GILLMAN/JERISON. Rings of Continuous Functions.
- 44 KENDIG. Elementary Algebraic Geometry.
- 45 LOÈVE. Probability Theory I. 4th ed.
- 46 LOÈVE. Probability Theory II. 4th ed.
- 47 MOISE. Geometric Topology in Dimensions 2 and 3.

C.T.J. Dodson T. Poston

Tensor Geometry

The Geometric Viewpoint and its Uses

With 177 Figures Second Edition



Christopher Terence John Dodson Department of Mathematics University of Manchester Institute of Science and Technology Manchester M60 1QD United Kingdom e-mail: dodson@umist.ac.uk

Editorial Board

J. H. Ewing Department of Mathematics Indiana University Bloomington, IN 47405, USA

P. R. Halmos Department of Mathematics Santa Clara University Santa Clara, CA 95053, USA Timothy Poston 14 White Church Road 0513 Singapore Singapore

F. W. Gehring Department of Mathematics University of Michigan Ann Arbor, MI 48109, USA

The first edition of this book was published by Pitman Publishing Ltd., London, in 1977

Corrected Second Printing of the Second Edition 1997

Mathematics Subject Classification (1991): 53-XX, 15-XX

Library of Congress Cataloging-in-Publication Data

Dodson, C. T. J. Tensor geometry : the geometric viewpoint and its uses / C.T.J. Dodson, T. Poston. -- 2nd ed. cm. -- (Graduate texts in mathematics ; 130) "Second corrected printing"--T.p. verso. Includes bibliographical references (p. -) and index. ISBN 978-3-662-13117-6 ISBN 978-3-642-10514-2 (eBook) DOI 10.1007/978-3-642-10514-2 1. Geometry, Differential. 2. Calculus of tensors. I. Poston. T. II. Title. III. Series. QA649.D6 1991 516.3'6--dc21 97-13430 CIP

ISSN 0072-5285

ISBN 978-3-662-13117-6

This work is subject to copyright. All rights are reserved, whether the whole or part of the material is concerned, specifically the rights of translation, reprinting, reuse of illustrations, recitation, broadcasting, reproduction on microfilms or in any other way, and storage in data banks. Duplication of this publication or parts thereof is permitted only under the provisions of the German Copyright Law of September 9, 1965, in its current version, and permission for use must always be obtained from Springer-Verlag Berlin Heidelberg GmbH.

Violations are liable for prosecution under the German Copyright Law.

© Springer-Verlag Berlin Heidelberg 1991

Originally published by Springer-Verlag Berlin Heidelberg New York in 1991 Softcover reprint of the hardcover 2nd edition 1991

SPIN 11002116 41/3111 - 5 4 3 2 1 - Printed on acid-free paper

Preface to the Second Printing of the Second Edition

This edition is essentially a reprinting of the Second Edition, with the addition of two items to the Supplementary Bibliography, namely, Dodson and Parker: A User's Guide to Algebraic Topology, and Gray: Modern Differential Geometry of Curves and Surfaces.

This latter text is very important since it contains Mathematica programs to perform all of the essential differential geometric operations on curves and surfaces in 3-dimensional Euclidean space. The programs are available by anonymous ftp from bianchi.umd.edu/pub/ and are being used as support for a course at, among other places, UMIST: http://www.ma.umist.ac.uk/kd/ma351/ma351.html.

June 1997

Kit Dodson Manchester, U.K.

Tim Poston Singapore

Preface to the Second Edition

We have been very encouraged by the reactions of students and teachers using our book over the past ten years and so this is a complete retype in TEX, with corrections of known errors and the addition of a supplementary bibliography. Thanks are due to the Springer staff in Heidelberg for their enthusiastic support and to the typist, Armin Köllner for the excellence of the final result. Once again, it has been achieved with the authors in yet two other countries.

November 1990

Kit Dodson Toronto, Canada

Tim Poston Pohang, Korea

Contents

| Introduction | XI |
|--|-------------|
| 0. Fundamental Not(at)ions 1. Sets 2. Functions 3. Physical Background | 1 1 6 |
| 5. I hysical Dackground | 10 |
| I. Real Vector Spaces | 18 |
| Subspace geometry, components | 10 |
| 2. Maps | 24 |
| 3. Operators | 31 |
| Projections, eigenvalues, determinant, trace | |
| II. Affine Spaces | 43 |
| 1. Spaces | 43 |
| 2. Combinations of Points | 49 |
| 3. Maps Linear parts, translations, components | 53 |
| III Dual Spaces | 57 |
| 1. Contours, Co- and Contravariance, Dual Basis | 57 |
| IV. Metric Vector Spaces | 64 |
| 1. Metrics | 64 |
| 2. Maps | 76 |
| 3. Coordinates Orthonormal bases | 83 |

Contents

| 4. Diagonalising Symmetric Operators Principal directions, isotropy | 92 |
|---|------------|
| V. Tensors and Multilinear Forms 1. Multilinear Forms Tensor Products, Degree, Contraction, Raising Indices | 98 98 |
| VI. Topological Vector Spaces 1. Continuity Metrics, topologies, homeomorphisms | 114 114 |
| 2. Limits Convergence and continuity | 125 |
| 3. The Usual Topology Continuity in finite dimensions | 128 |
| 4. Compactness and Completeness Intermediate Value Theorem, convergence, extrema | 136 |
| VII. Differentiation and Manifolds 1. Differentiation Derivative as local linear approxiamation | 149 149 |
| 2. Manifolds | 160 |
| 3. Bundles and Fields | 170 |
| 4. Components Hairy Ball Theorem, transformation formulae, raising indices | 182 |
| 5. Curves | 189 |
| 6. Vector Fields and Flows First order ordinary differential equations | 195 |
| 7. Lie Brackets | 200 |
| VIII. Connections and Covariant Differentiation | 205 205 |
| 2. Rolling Without Turning Differentiation along curves in embedded manifolds | 207 |
| 3. Differentiating Sections Connections, horizontal vectors. Christoffel symbols | 212 |
| 4. Parallel Transport Integrating a connection | 222 |

| | 5. Torsion and Symmetry | 228 |
|-----|---|------------|
| | 6. Metric Tensors and Connections | 232 |
| | Levi-Civita connection 7. Covariant Differentiation of Tensors Parallel transport, Ricci's Lemma, components, constancy | 240 |
| IX. | Geodesics | 246 |
| | 1. Local Characterisation Undeviating curves | 246 |
| | 2. Geodesics from a Point Completeness, exponential map, normal coordinates | 249 |
| | 3. Global Characterisation Criticality of length and energy, First Variation Formula | 256 |
| | 4. Maxima, Minima, Uniqueness Saddle points, mirages, Twins 'Paradox' | 264 |
| | 5. Geodesics in Embedded Manifolds Characterisation, examples | 275 |
| | 6. An Example of Lie Group Geometry 2×2 matrices as a pseudo-Riemannian manifold | 281 |
| Х. | Curvature | 298 |
| | 1. Flat Spaces Intrinsic description of local flatness | 298 |
| | 2. The Curvature Tensor Properties and Components | 304 |
| | 3. Curved Surfaces | 319 |
| | 4. Geodesic Deviation | 324 |
| | 5. Sectional Curvature | 326 |
| | 6. Ricci and Einstein Tensors | 329 |
| | 7. The Weyl Tensor | 337 |
| XI. | Special Relativity 1. Orienting Spacetimes Causality, particle histories | 340 340 |
| | 2. Motion in Flat Spacetime | 342 |
| | 3. Fields | 355 |

| Contents |
|----------|
|----------|

| 4. Forces | 367 |
|--|-----|
| 5. Gravitational Red Shift and Curvature Measurement gives a curved metric tensor | 369 |
| XII. General Relativity | 372 |
| 1. How Geometry Governs Matter Equivalence principle, free fall | 372 |
| 2. What Matter does to Geometry Einstein's equation, shape of spacetime | 377 |
| 3. The Stars in Their Courses | 384 |
| 4. Farewell Particle | 398 |
| Appendix. Existence and Smoothness of Flows | 400 |
| 1. Completeness | 400 |
| 2. Two Fixed Point Theorems | 401 |
| 3. Sequences of Functions | 404 |
| 4. Integrating Vector Quantities | 408 |
| 5. The Main Proof | 408 |
| 6. Inverse Function Theorem | 415 |
| Bibliography | 418 |
| Index of Notations | 421 |
| Index | 424 |

Х

Introduction

The title of this book is misleading.

Any possible title would mislead somebody. "Tensor Analysis" suggests to a mathematician an ungeometric, manipulative debauch of indices, with tensors ill-defined as "quantities that transform according to" unspeakable formulae. "Differential Geometry" would leave many a physicist unaware that the book is about matters with which he is very much concerned. We hope that "Tensor Geometry" will at least lure both groups to look more closely.

Most modern "differential geometry" texts use a coordinate-free notation almost throughout. This is excellent for a coherent understanding, but leaves the physics student quite unequipped for the physical literature, or for the specific physical computations in which coordinates are unavoidable. Even when the relation to classical notation is explained, as in the magnificent [Spivak], *pseudo*-Riemannian geometry is barely touched on. This is crippling to the physicist, for whom spacetime is the most important example, and perverse even for the geometer. Indefinite metrics arise as easily within pure mathematics (for instance in Lie group theory) as in applications, and the mathematician should know the differences between such geometries and the positive definite type. In this book therefore we treat both cases equally, and describe both relativity theory and (in Ch. IX, §6) an important "abstract" pseudo Riemannian space, SL(2;R).

The argument is largely carried in modern, intrinsic notation which lends itself to an intensely geometric (even pictorial) presentation, but a running translation into indexed notation explains and derives the manipulation rules so beloved of, and necessary to, the physical community. Our basic notations are summarised in Ch. 0, along with some basic physics.

Einstein's system of 1905 deduced everything from the Principle of Relativity: that no experiment whatever can define for an observer his "absolute speed". Minkowski published in 1907 a geometric synthesis of this work, replacing the once separately absolute space and time of physics by an absolute four dimensional spacetime. Einstein initially resisted this shift away from argument by comparison of observers, but was driven to a more "spacetime geometric" view in his effort to account for gravitation, which culminated in 1915 with General Relativity. For a brilliant account of the power of the Principle of Relativity used directly, see [Feynman]; particularly the deduction (vol. 2, p. 13-16) of magnetic effects from the laws of electrostatics. It is harder to maintain this approach when dealing with the General theory. The Equivalence Principle (the most physical assumption used) is hard even to state precisely without the geometric language of covariant differentiation, while Einstein's Equation involves sophisticated geometric objects. Before any detailed physics, therefore, we develop the geometrical setting: Chapters I - X are a geometry text, whose material is chosen with an eye to physical usefulness. The motivation is largely geometric also, for accessibility to mathematics students, but since physical thinking occasionally offers the most direct insight into the geometry, we cover in Ch. 0, §3 those elementary facts about special relativity that we refer to before Ch. XI. British students of either mathematics or physics should usually know this much before reaching university, but variations in educational systems – and students – are immense.

The book's prerequisites are some mathematical or physical sophistication. the elementary functions (log, exp, cos, cosh, etc.), plus the elements of vector algebra and differential calculus, taught in any style at all. Chapter I will be a recapitulation and compendium of known facts, geometrically expressed, for the student who has learnt "Linear Algebra". The student who knows the same material as "Matrix Theory" will need to read it more carefully, as the style of argument will be less familiar. (S)he will be well advised to do a proportion of the exercises, to consolidate understanding on matters like "how matrices multiply" which we assume familiar from some point of view. The next three chapters develop affine and linear geometry, with material new to most students and so more slowly taken. Chapter V sets up the algebra of tensors, handling both ends and the middle of the communication gap that made 874 U.S. "active research physicists" [Miller] rank "tensor analysis" ninth among all Math courses needed for physics Ph.D. students, more than 80% considering it necessary, while "multilinear algebra" is not among the first 25, less than 20% in each specialisation reommending it. "Multilinear algebra" is just the algebra of the manipulations, differentiation excepted, that make up "tensor analysis".

Chapter VI covers those facts about continuity, compactness and so on needed for precise argument later; we resisted the temptation to write a topology text. Chapter VII treats differential calculus "in several variables", namely between affine spaces. The affine setting makes the "local linear approximation" character of the derivative much more perspicuous than does a use of vector spaces only, which permit much more ambiguity as to "where vectors are". This advantage is increased when we go on to construct manifolds; modelling them on affine spaces gives an unusually neat and geometric construction of the tangent bundle and its own manifold structure. These once set up, we treat the key facts about vector fields, previously met as "first order differential equations" by many readers. To keep the book selfcontained we show the existence and smoothness of flows for vector fields (solutions to equations) in an Appendix, by a recent, simple and attractively geometric proof due to Sotomayor. The mathematical sophistication called for is greater than for the body of the book, but so is that which makes a student want a proof of this result.

Chapter VIII begins differential geometry proper with the theory of connections, and their several interrelated geometric interpretations. The "rolling tangent planes without slipping" picture allows us to "see" the connection between tangent spaces along a curve in an ordinary embedded surface, while the intrinsic geometry of the tangent bundle formulation gives a tool both mathematically simpler in the end, and more appropriate to physics.

Chapter IX discusses geodesics both locally and variationally, and examines some special features of indefinite metric geometry (such as geodesics *never* "the shortest distance between two points"). Geodesics provide the key to analysis of a wealth of illuminating examples.

In Chapter X the Riemann curvature tensor is introduced as a measure of the failure of a manifold-with-connection to have locally the flat geometry of an affine space. We explore its geometry, and that of the related objects (scalar curvature, Ricci tensor, etc.) important in mathematics and physics.

Chapter XI is concerned chiefly with a geometric treatment of how matter and its motion must be described, once the Newtonian separation of space and time dissolves into one absolute spacetime. It concludes with an explanation of the geometric incompatibility of gravitation with any simple flat view of spacetime, so leading on to general relativity.

Chapter XII uses all of the geometry (and many of the examples) previously set up, to make the interaction of matter and spacetime something like a visual experience. After introducing the equivalence principle and Einstein's equation, and discussing their cosmic implications, we derive the Schwarzschild solution and consider planetary motion. By this point we are equipped both to *compute* physical quantities like orbital periods and the famous advance of the perihelion of Mercury, and to *see* that the paths of the planets (which to the flat or Riemannian intuition have little in common with straight lines) correspond indeed to geodesics.

Space did not permit the coherent inclusion of differential forms and integration. Their use in geometry involves connection and curvature forms with values not in the real numbers but in the Lie algebra of the appropriate Lie group. A second volume will treat these topics and develop the clear exposition of the tensor geometric tools of solid state physics, which has suffered worse than most subjects from index debauchery.

The only feature in which this book is richer than in pictures (to strengthen geometric insight) is exercises (to strengthen detailed comprehension). Many of the longer and more intricate proofs have been broken down into carefully programmed exercises. To work through a proof in this way teaches the mind, while a displayed page of calculation merely blunts the eye.

Thus, the exercises are an integral part of the text. The reader need not do them all, perhaps not even many, but should *read* them at least as carefully as the main text, and think hard about any that seem difficult. If the "really hard" proportion seems to grow, reread the recent parts of the text – doing more exercises.

We are grateful to various sources of support during the writing of this book: Poston to the Instituto de Matemática Pura e Aplicada in Rio de Janeiro, Montroll's "Institute for Fundamental Studies" in Rochester, N.Y., the University of Oporto, and at Battelle Geneva to the Fonds National Suisse de la Recherche Scientifique (Grant no. 2.461-0.75) and to Battelle Institute, Ohio (Grant no. 333-207); Dodson to the University of Lancaster and (1976-77) the International Centre for Theoretical Physics for hospitality during a European Science Exchange Programme Fellowship sabbatical year. We learned from conversation with too many people to begin to list. Each author, as usual, is convinced that any remaining errors are the responsibility of the other, but errors in the diagrams are due to the draughtsman, Poston, alone.

Finally, admiration, gratitude and sympathy are due Sylvia Brennan for the vast job well done of preparing camera ready copy in Lancaster with the authors in two other countries.

> Kit Dodson ICTP, Trieste

Tim Poston Battelle, Geneva